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Attorney's Docket No. 004688.P025

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

Dan Kikinis, et al.

Application No.: 09/875,707

Filed: June 5, 2001

For: METHOD AND APPARATUS  
FOR NOTIFYING USERS OF  
INTERACTIVE FUNCTIONS

Examiner: Not Yet Assigned

Art Unit: Not Yet Assigned

Assistant Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

**FIRST CLASS CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

on 8/24/01  
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Name of Person Mailing Correspondence

Dawn Roberts  
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Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

X 37 C.F.R. §1.97(b).

\_\_\_\_ 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

\_\_\_\_ A statement pursuant to 37 C.F.R. §1.97(e) or

\_\_\_\_ A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

\_\_\_\_ 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

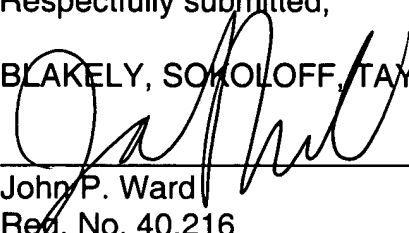
- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
- (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

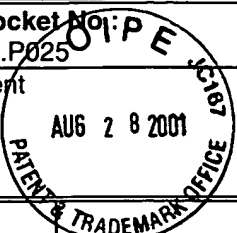
Respectfully submitted,

BLAKELY, SOLOLOFF, TAYLOR & ZAFMAN LLP

Dated: 8/23, 2001

  
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<b>Form PTO-1449</b> (Modified)		<b>Atty Docket No.:</b> 004688.P025 		<b>Serial No.:</b> 09/875,707	
<b>List of Patents and Publications Statement</b> (Use several sheets if necessary)				<b>Applicant:</b> Dan Kikinis <b>Filing Date:</b> 06/05/01	

REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS			
Examiner Initials		Document No.		Class	Sub-Class	Filing date if appropriate
	AA	5,808,613	Marrin, et al.			
	AB	6,002,403	Sugiyama, et al.			
	AC	6,043,818	Nakano			
	AD	5,724,492	Matthews III, et al.			
	AE	5,977,964	Williams, et al.			
	AF	5,485,197	Hoarty			
	AG					
	AH					
	AI					
	AJ					
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	AM					
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	AQ					
	AR					

FOREIGN PATENT DOCUMENTS							
No.		Document No.	Date	Country	Class	Sub-Class	Trans-lation

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)		
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<b>Examiner</b>	<b>Date Considered</b>
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**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.